

Evaluation of Major Project Applications – Evaluation sheet*

Project name:	
Project code:	
Evaluator:	
Evaluation date:	
Signed:	
Evaluation summary:	
Recommendations:	

* This evaluation sheet follows the structure of Annex XXI of the Commission Regulation (EC) No 1828/2006. It should be used together Guidance for evaluators, which provides information about required content and format of the information included in the Application.

Application form (reference)	Criterion	Information provided in the Application				Ref.
		N/A	Sufficient /correct	Insufficient /incorrect	Recommendations (if insufficient/incorrect)	
0 PROJECT DOCUMENTATION						
Are all required documents provided?	<ul style="list-style-type: none"> - Annexes XX, XXI or XXII of the Commission Regulation (EC) No 1828/2006 - CBA of the Investment project - Environmental Documents: EIA decision, non-technical summary, screening decision, Annex I with map, etc. (as required) - Feasibility study (if applicable) - Jaspers Action Completion Note 					
A ADDRESSES AND REFERENCES						
A. Addresses and references	Contacts of managing authority available					
	Beneficiary correctly identified					
	Contacts of Beneficiary available					
B PROJECT DETAILS						
B.1 and B.2 Project details	Title of the project available					
	Coding of the project available and correct					4, 5
B.3 Reference to the OP	Reference to the OP and priority axis is provided and correct					
	Target fund (CF, ERDF) is indicated					
B.4.1.a Provide description of the project (or project phase)	Non-technical project summary					6-8
B.4.1.b Where the project is a phase of an overall project, provide a description of the proposed stages of implementation (explaining whether they are technically and financially independent)	Are phases/stages technically independent?	N/A				9-12
	Are phases/stages financially independent?	N/A				12
	Is overall project identified, stages/phases identified?	N/A				13-15

	Is progress on other parts of the overall projects presented?	N/A				16
B.4.1.c	What criteria have been used to determine the division of the project into phases?	Reasons for division of the project into phases or stages are provided and plausible	N/A			17-18
B.4.2.a	Technical description of the investment in infrastructure (main characteristics and component elements)	Technical description provided				19-23
	Map with indicated works components					19-23
	Works on lower category roads presented					19-23
	Cost breakdown and it's basis specified	N/A				19-23
	Traffic safety issues addressed					19-23
B.4.2.b	Technical description of the investment in infrastructure (key output indicators)	Key output indicators identified and quantified				24, 25, 27
	Core indicators identified and quantified	N/A				24, 26, 27
B.4.2.c	Technical description of the investment in infrastructure (main beneficiaries)	Main beneficiaries identified and quantified				28, 30
	Relation between the project and beneficiaries is clear					29
B.4.2.d	Technical description of the investment in infrastructure (management of the infrastructure after completion)	Project delivered through PPP; if YES: PPP process and structure is described	N/A			31
	Project delivered through PPP; if NO: management and operation of the infrastructure is described					32
	Is part of the infrastructure handed over to third party?	N/A				33
B.4.2.e	Technical description of the investment in infrastructure (part of the TEN-T network)	Is project part of TEN-T				34
	Is project included in the list of 30 priority projects?	N/A				35
B.5.1	Current infrastructure endowment and impact of the project	Relevant transport problems are identified				36-38
	Problems are quantified (where possible)					39
	Reference to the strategic, long-term plans provided					40-41

B.5.2 Socio-economic objectives (and targets)	Objectives are clearly related to needs (relevant)					42-46
	Appropriate targets are identified and quantified					47
B.5.3 Contribution to the achievement of the Operational Programme	Are the relevant priorities of the OP identified?					49-50
	Are relevant quantified indicators of the OP identified and compared against the project's contribution?					51
C RESULTS OF FEASIBILITY STUDIES						
C.1 Provide a summary of the main conclusions of the feasibility studies conducted	Project selection process and project development are well presented					52-55
	Feasibility studies are listed and summarized					52-55
	Comparative advantages of the preferred option are clear (Is it likely that the best option was found?)					52-55
C.1.1 Demand analysis	Is past traffic on all relevant parts of network (and in competing modes) presented in expected format?					56, 63
	Are the main origins and destination of traffic and other main characteristics presented?					56, 63
	Are the sections where impact on traffic is expected identified correctly (network effect)?					58-59
	Is appraisal scenario correct (i.e. no catastrophic scenario)?					62
	Demand forecast and proposed technical solution are consistent					61
	Is forecasting method and assumptions appropriate (is traffic forecast plausible)?					57, 60
	Are traffic surveys listed?					
	Is forecasted traffic on all relevant parts of network presented for both With and Without project cases, in expected format?					63
	Are applied growth rates presented and plausible?					63
	Are other data relevant for economic assessment (e.g. operational speed, occupancy, travel purpose, etc.) presented and plausible?					63
C.1.2 Options considered	Options are described					64-65
	Options are presented on the map					64-65
	Impact of options on traffic, environment, safety, cost					65

	is explained				
D TIMETABLE					
D.1 Project timetable	Is timetable complete and logical?				66-70
	Are all contracts listed?				67
	Is timetable realistic?				
	Is timetable in broad terms consistent with feasibility study and CBA?				66
	Is timetable consistent with I.5?				69
	Gantt chart is attached				68
	Is information in D.1 consistent with information in D.2.1 – D.2.4?				
D.2.1 Project maturity (technical)	Technical maturity is presented (list of technical studies and design documentation)				71, 74, 75
D.2.2 Project maturity (administrative)	Necessary authorizations listed, actual/expected dates provided				72
	Main EIA milestones are presented				73
	Land acquisition status is presented				76
	Tender procedure is presented				77
D.2.3 Project maturity (financial)	References to national budget or other national commitments are presented				78
	Loans and other debt instruments presented	N/A			79
	Commitments/agreements regarding own funds of the Beneficiary are presented	N/A			80
D.2.4 Current state of works (if started)	Current state of works is presented	N/A			81
E COST-BENEFIT ANALYSIS					
E.1.1 Short description of methodology and assumptions made	Is the “Without project” scenario presented and plausible?				85
	Are general assumptions for financial analysis presented and plausible (evaluation period, discount rate, price base, exchange rate)?				83, 86, 100, 101
	Are all relevant cash flows included in the analysis?				87
	Is project cost comparable with other similar projects?				88
	Is VAT treated correctly?				95
	Are subsidies treated correctly (excluded from the financial profitability of the project and funding gap calculation, included in the financial profitability of the				93, 96

	capital and financial sustainability)?				
	Are assumptions regarding residual value presented and plausible?				89
	Are assumptions regarding operation and maintenance cost presented and plausible?				90-92
	Are assumptions regarding revenue presented and plausible?				93-94
	Is financial sustainability presented?				97-98
	Do tables contain yearly streams of cash flows, disaggregated, for both With and Without project cases?				84, 99
	Are spreadsheets with calculations (including data input and formulas) attached?				99
E.1.2 Main elements and parameters used in the CBA for financial analysis	Is table E.1.2 filled and calculated correctly (funding gap calculated excluding contingencies and VAT, if not eligible)?				95
E.1.3 Main results of the financial analysis	Is table E.1.3 (financial performance indicators) filled and calculated correctly?				95-96
E.1.4 Revenues generated	Is requested information for revenue generating projects correctly presented (coverage of depreciation and operating cost; tariff differentiation; basis for tariff differentiation)?	N/A			102-104
	If project is not financially sustainable on its own: is explanation how the operation will be financed provided (if there is no charges or insufficient to cover the cost)?	N/A			105
E.2.1 Short description of methodology and key assumptions	Are general assumptions for economic analysis presented and plausible (base year, discount rate, price base, fiscal correction factor)?				107, 108, 110, 111, 113, 117
	Is economic analysis consistent with financial?				109
	Are all relevant costs and benefits included in the analysis?				112, 120
	Are unit values for benefit calculation (time, accidents, operating cost, and externalities) presented and plausible?				114-116, 119

	Is the method for VOC calculation presented and plausible?					118
	Are unit values linked to GDP growth and adequate elasticity is applied?					117
	Do tables contain yearly streams of cash flows, disaggregated, for both With and Without project cases?					
	Are spreadsheets with calculations (including data input and formulas) attached?					
E.2.2 Main economic cost and benefits	Is table E.2.2 filled and calculated correctly?					121
	Is benefit composition consistent with project and project objectives?					122
E.2.3 Main indicators of economic analysis	Is table E.2.3 filled and calculated correctly?					106
E.2.4 Employment effects	Is table E.2.4 filled and calculated correctly?					
E.2.5 Main non-quantifiable/non-valuable effects	Are non-quantifiable/non-valuable effects identified?					123
E.3 Sensitivity and risk analysis	Are relevant variables tested in the sensitivity analysis (covering both cost and benefit components)?					124
	Does sensitivity analysis cover both financial and economic performance of the project?					
	Are critical variables identified correctly?					125
	Are switching values calculated and correct?					126
	Are methodology and assumptions for risk analysis presented and plausible?					127
	Is methodology adequate?					127
	Is probability distribution for financial and economic performance indicators calculated and commented?					127
	Are risk mitigation measures identified?					128
F ANALYSIS OF ENVIRONMENTAL IMPACT						
F.1 How does project contribute to the environmental policy objectives?	Application explains how project is contributing to the objective of environmental sustainability?					132-133
	Application explains how project is respecting the principle of preventive action, mitigation at source?					134-136
	Application explains how project is respecting the "polluter pays" principle?					137-138

F.2 Consultation of environmental authorities	Are names and addresses of relevant authorities indicated, their responsibilities explained?	N/A				139-141
	If not consulted: are reasons explained and plausible?	N/A				139-141
F.3.1 Development consent	If development consent was issued: is date and name of competent authorities indicated?	N/A				142-146
	If development consent was not yet issued: are dates of formal request and expected final decision indicated? Is the name of competent authority indicated?	N/A				142-146
	Is the information consistent with D.2.2?					144
F.3.2 Application of Directive 85/337/EEC on EIA	Is the project correctly classified as Annex I, Annex II or neither of the two?					147
	If the project is covered by (i) Annex I or (ii) by Annex II where EIA has been carried out based on the screening decision: does the Application include all necessary documents (EIA decision containing required information, consultation with environmental authorities and public concerned, complete NTS or full environmental impact study if NTS not consistent with EIA Directive)?	N/A				148-149
	Application provides information how were the results of public consultation taken into account in the development consent procedure (with reasons why not, if this was the case for some of them)	N/A				148-149
	Was it assessed whether the project is likely to have cross-border effects in other MS?	N/A				153-154
	If the project is likely to have cross-border effects: is there evidence that the affected MS has been informed and included in the EIA procedure?	N/A				153-154
	If the project is covered by (i) Annex II and (ii) based on the screening decision it is stated that EIA is not necessary: does the Application include all necessary information (reasons, thresholds, criteria or case-by-case examination) and negative screening decision can be justified?	N/A				151-152, 155-157
F.3.3 Application of Directive 2001/42/EC on SEA	If plan or a programme was not subject of the SEA procedure: explanation and justification is provided.	N/A				158-159

	If plan or a programme was subject of the SEA procedure: full title and the approval date of the programme are provided, full title, approval date and issuing authority of the SEA are provided.	N/A				160
	Internet link or electronic copy of NTS of the environmental report is provided.	N/A				160
F.4 Assessment of effects in NATURA 2000 sites	Is the conclusion that the project is likely or unlikely to have significant impact on special areas of conservation (sites included or intended to be included in the NATURA 2000 network) plausible? *Please answer only after reviewing EIA report or other information prepared for the assessment as required by the Habitats Directive Article 6(3)					163-165
	If the answer box YES is marked: summary of the conclusions of the assessment (following the Art. 6(3) of the 92/43/EEC) is provided, specific assessment or respective chapter of EIA report is attached.	N/A				163-165
	If the answer box YES is marked and if the compensatory measures have been deemed necessary: has the Commission (DG Environment) been informed about the compensatory measures and copy of the notification is attached?	N/A				166
	If the answer box YES is marked and if the Commission (DG Environment) has been informed: has the Commission granted an opinion on the imperative reasons of overriding public interest to carried out the project (if yes, details should be provided and if no, when the opinion has been requested and when it is expected) (note: the only considerations which may be raised are those relating to human health or public safety)	N/A				166
	If the answer box NO is marked: is Declaration (Appendix I) attached, issued by appropriate authority and containing required information (including justification)?	N/A				167-171
F.5 Additional environmental integration measures	Are additional environmental integration measures listed? Are these measures sufficient?					172-174
F.6 Cost of measures taken for correcting negative	Is percentage and explanation provided and plausible?					175-176

environmental impacts						
G JUSTIFICATION OF THE PUBLIC CONTRIBUTION						
G.1 Competition	Explanation about State aid is provided					177
G.2 Impact of Community assistance on project implementation	Impact of Community assistance on project implementation is explained					178
H FINANCING PLAN						
H.1 Cost breakdown	Is cost breakdown complete?					179
	Is eligible and ineligible cost presented?					180
	Can eligibility of the cost be confirmed?					180
	Are contingencies determined correctly?					181
	Is price adjustment calculation available (if used)?	N/A				182
	Consistent with E.1.2?					187
H.2 Total planned resources and planned contribution from the Funds	Is community contribution (Table H.2.1) calculation correct?					
	Are sources of co-financing (Table H.2.2) presented correctly?					
	Is already certified expenditure presented correctly?					
H.3 Annual financing plan of Community contribution	Is the Community contribution distributed over the period of project implementation?					183
	Is planned financial realization consistent with planned physical realization?					184
I COMPATIBILITY WITH COMMUNITY POLICIES AND LAW						
I.1 Other Community financing sources	Are other Community financing sources presented?					185-186
I.2 Is the project subject to a legal procedure for non-compliance with Community legislation?	Is this section filled correctly?					185-186
I.3 Publicity measures	Are publicity measures and its cost explained in the required detail?					
I.4 Involvement of JASPERS in project preparation	Is involvement of JASPERS correctly presented?					
I.5 Public procurement	Are references to the OJ provided?					